

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Una CHEN-BETTECKEN

Serial No.: 09/957,458

Filing Date: September 21, 2001

For: METHOD FOR GROWING STEM CELLS



RESPONSE TO NOTICE TO FILE MISSING PARTS OF  
NONPROVISIONAL APPLICATION UNDER 37 C.F.R. §1.53(b)

Box Missing Parts  
Commissioner for Patents  
Washington, D.C. 20231

Attention: APPLICATION BRANCH  
MISSING PARTS OF APPLICATION

Sir:

With respect to the above-identified patent application, the following are filed herewith in response to the Notice to File Missing Parts of Nonprovisional Application under 37 C.F.R. §1.53(b), mailed March 13, 2002, copy attached.

- ☒ Declaration in compliance with 37 C.F.R. §1.63.
- ☒ Substitute Drawings.
- ☒ Sequence Listing and diskette with amendment.
- ☒ Petition for one month Extension of Time.
- ☒ Certified copy of European Application No. 99 116 533.3, filed August 24, 1999, the priority of which is claimed under 35 U.S.C. §119.
- ☒ If a Petition for Extension of time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge and fees necessary under 37 CFR 1.17 (a) - (d) to Deposit Account No. 06-1358. The Commissioner is also authorized to charge payment of any other additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1358.

Serial No.: 09/957,458

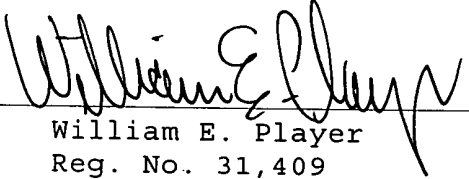
X Filing Fee, calculated as follows:

|   | Small Entity | Large Entity |               |
|---|--------------|--------------|---------------|
| Basic Filing Fee                            | \$370.00     | \$740.00     | 740.00        |
| Total Claims <u>17</u> - 20 = 0             | (x \$9) =    | (x \$18) =   | .00           |
| Indep. Claims <u>2</u> - 3 = 0              | (x \$42) =   | (x \$84) =   | .00           |
| <u>X</u> Surcharge: Late Filing             | + \$65       | + \$130      | 130.00        |
| <u>X</u> Extension Fee under 37 CFR \$1.17: | + \$55 //    | + \$110      | 110.00        |
| TOTAL FILING FEES \$                        |              |              | <u>980.00</u> |

Check No. 58623, in the amount of \$980.00, is enclosed to cover the filing fee, late filing surcharge and Petition for one month Extension of time. The Commissioner is hereby authorized to debit or credit any fees set forth in §1.16 or §1.17 to Deposit Account No. 06-1358 as needed in order to effect proper filing of the application. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

JACOBSON HOLMAN PLLC

By   
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Reg. No. 31,409

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Atty. Docket No.: P66567US0  
Date: June 13, 2002  
WEP/cmf



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/957,458         | 09/21/2001          | Una Chen              | P66567US0              |

CONFIRMATION NO. 2503

FORMALITIES LETTER



\*OC000000007633093\*

JACOBSON HOLMAN  
400 SEVENTH STREET, N.W.  
WASHINGTON, DC 20004

Date Mailed: 03/13/2002



NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);
- This application does not contain a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). Applicant must provide such statement. If the effective filing date is on or after September 8,

06/18/2002 JBALINAN 00000007 09957458

01 FC:101 740.00 OP  
02 FC:101 130.00 OP

2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice MUST be returned with the reply.*

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Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE